

WHISTLEBLOWER COMPLAINT FORM

File Number: _____

Staff of the Department of Health Services (DHS) or DHS business associates may report a suspected violation of the HIPAA Privacy Rule by another employee or a violation of DHS' privacy policies and procedures. DHS will not intimidate, threaten, coerce, discriminate against, or take other retaliatory action against any individual or business associate for filing a complaint. The information you provide here will remain confidential to the extent possible. DHS may need to share the information to investigate your complaint. You may submit your complaint to either the California Department of Health Services or to the U.S. Department of Health and Human Services (DHHS) as follows:

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|--|--|--|--------------------|
| MAIL THIS COMPLETED COMPLAINT FORM TO: | | YOU MAY FILE A COMPLAINT WITH THE SECRETARY OF DHHS TO: | |
| PRIVACY OFFICER C/O OFFICE OF HIPAA COMPLIANCE DEPARTMENT OF HEALTH SERVICES P.O. BOX 997413, MS 4700 SACRAMENTO, CA 95899-7413 | | SECRETARY OF THE DEPARTMENT OF HEALTH AND HUMAN SERVICES U.S. OFFICE FOR CIVIL RIGHTS 50 UNITED NATIONS PLAZA, ROOM 322 SAN FRANCISCO, CA 94102 | |
| YOUR INFORMATION | | | |
| LAST NAME: | | FIRST NAME: | MIDDLE INITIAL: |
| ADDRESS: | | CITY/STATE: | ZIP CODE: |
| DAYTIME TELEPHONE NUMBER: () | | EVENING TELEPHONE NUMBER: () | EMAIL ADDRESS: |
| BEST WAY TO REACH YOU: | | BEST HOURS TO REACH YOU: | |
| WHISTLEBLOWERS MAY FILE COMPLAINTS ANONYMOUSLY | | DIVISION/BRANCH: | SUPERVISOR'S NAME: |
| CONSENT TO DISCLOSE YOUR NAME | | | |
| PLEASE SELECT ONE OF THE FOLLOWING: | | | |
| <input type="checkbox"/> I CONSENT TO MY NAME BEING DISCLOSED TO INVESTIGATE THIS COMPLAINT. | | | |
| <input type="checkbox"/> I DO NOT CONSENT TO MY NAME BEING DISCLOSED. PLEASE NOTE THAT NOT USING YOUR NAME MAY HINDER OUR ABILITY TO COMPLETE THE INVESTIGATION. | | | |

INFORMATION ABOUT YOUR COMPLAINT

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|---|---|-----------------------------|
| NAME OF THE ORGANIZATION YOUR COMPLAINT IS AGAINST: | NAME OF PERSON YOUR COMPLAINT IS AGAINST: | DATE(S) ACTION(S) OCCURRED: |
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PLEASE PROVIDE A DETAILED DESCRIPTION OF YOUR COMPLAINT COVERING *WHAT, WHEN, WHO, HOW, WHERE, AND WHY*. YOU MAY ATTACH ADDITIONAL PAGES IF THERE IS NOT ENOUGH SPACE HERE.

DO YOU HAVE WITNESS(ES)? YES NO
IF YES, PLEASE PROVIDE THE NAMES, ADDRESSES, AND TELEPHONE NUMBERS OF YOUR WITNESSES BELOW:

| | | |
|---------------|----------|------------------------------|
| WITNESS NAME: | ADDRESS: | TELEPHONE NUMBER: () |
| WITNESS NAME: | ADDRESS: | TELEPHONE NUMBER: () |
| WITNESS NAME: | ADDRESS: | TELEPHONE NUMBER: () |

RESOLUTION OF YOUR COMPLAINT

PLEASE DESCRIBE HOW YOU BELIEVE THAT YOUR PRIVACY COMPLAINT COULD BE RESOLVED:

YOUR SIGNATURE

| | |
|--|-------|
| I DECLARE UNDER PENALTY OF PERJURY THAT THE INFORMATION ON THIS FORM IS TRUE AND CORRECT TO THE BEST OF MY INFORMATION, KNOWLEDGE, AND BELIEF. SIGNATURE: | DATE: |
|--|-------|